

# From a gene list to biological function

- *Scoring Gene Ontology terms* -

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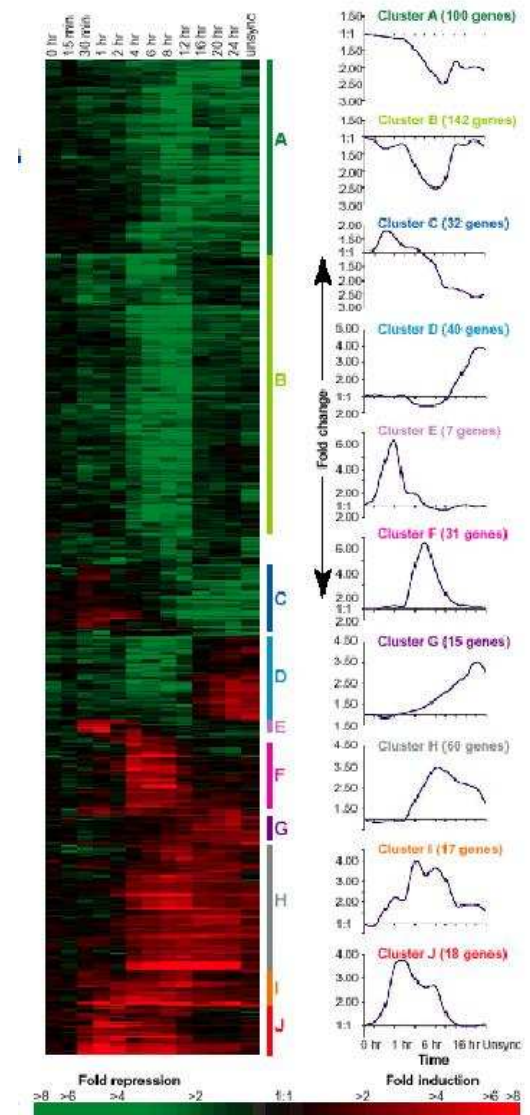
- Gene sets enrichment
- Scoring GO Terms
- Topology based GO Terms scoring
- Evaluation on simulated data

- **Gene sets enrichment**
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- The Microarray experiments provide a **long list of genes**.
- Typical studies analyze genes **one by one**:
  1. samples are divided into two groups: **disease vs. healthy** and the genes are **ranked** according to **differential expression**.
  2. genes are ordered according to **correlation** of the expression values with a **phenotype** measurement.

These studies result in an **ordered list** of genes.

- **More important is the group enrichment**:
  - given a **set of genes** with some **biological function**, analyze the positions of these genes in the **ordered list**.
  - the biological function is **relevant**, if all genes are among the **top genes** in the **ordered list**.



➤ Gene sets:

- Gene Ontology (GO) terms
- Metabolic pathways
- MIPS classes
- Chromosomes
- Classes defined via transcription factors
- Gene sets obtained from other previous experiments

➤ Remark 1:

The score and the gene set must be chosen independently!

➤ Remark 2:

The dependence between gene sets usually make the statistical interpretation of the result harder!

**Main idea:** Sort genes according to some score and analyze **positions** of members of the investigated gene group in this list.

- We want to know if the members of group **a** have significantly **small ranks** (higher in the list). If this is the case, then group **a** is **enriched**.
- There are basically two approaches:
  1. Define cutoff and count members of group **a** below and above cutoff (**parametric test statistic**).
  2. Analyze distribution of all ranks of members of group **a** (**non-parametric test statistic**).

Gene	Score	Group
gene <sub><math>\sigma(1)</math></sub>	score 1	a
gene <sub><math>\sigma(2)</math></sub>	score 2	b
gene <sub><math>\sigma(3)</math></sub>	score 3	a
gene <sub><math>\sigma(4)</math></sub>	score 4	a
.....	.....	.....
gene <sub><math>\sigma(100)</math></sub>	score 100	b
gene <sub><math>\sigma(101)</math></sub>	score 101	a
.....	.....	.....
gene <sub><math>\sigma(9905)</math></sub>	score 9905	b

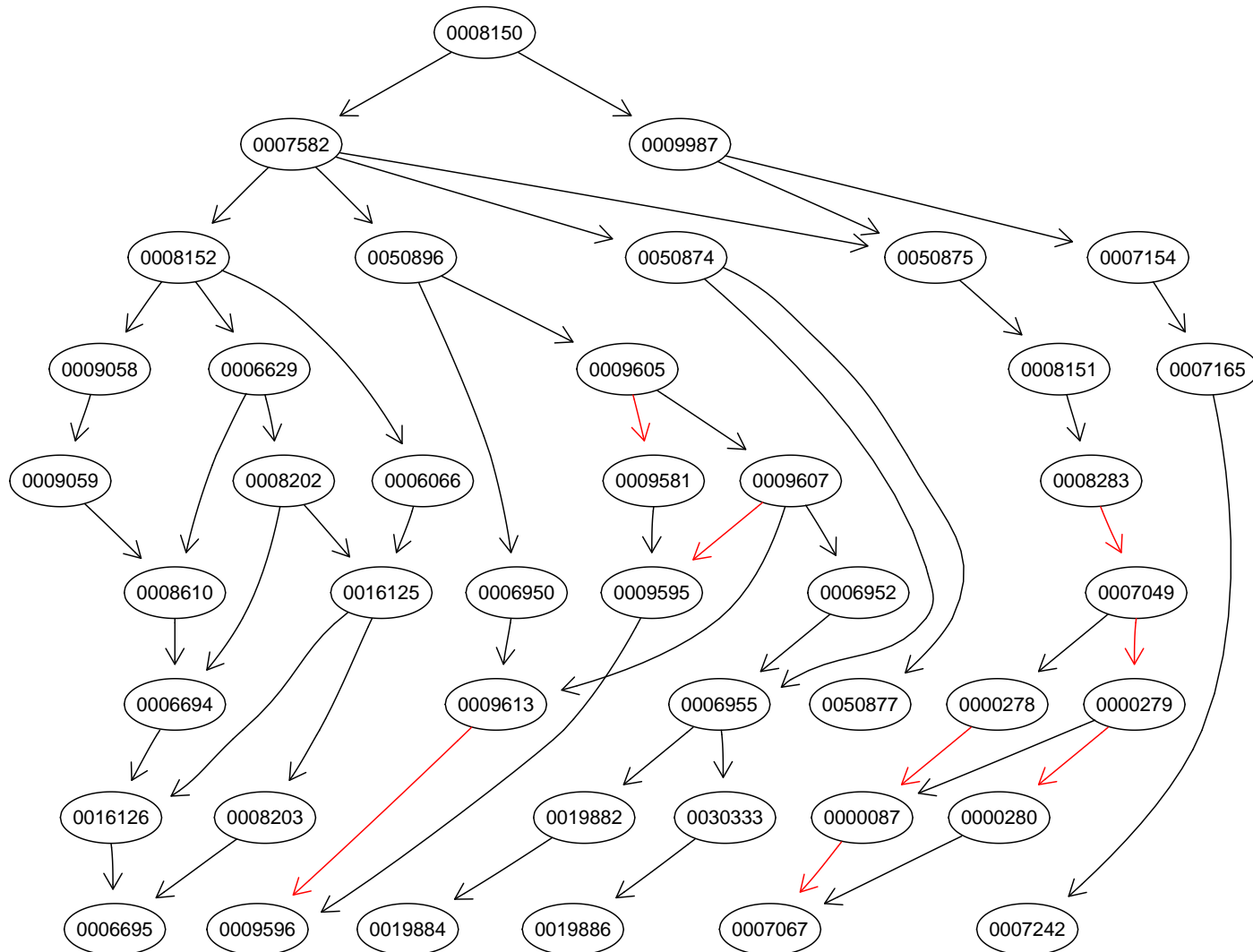
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- Obtain the **Gene Expression Data** from the microarrays experiments (this is the normalized and cleaned data: [Long list of genes](#))
- Select a **set of significant genes** (use some test statistic: *t-test*, *permutation-test*)
- Map all the genes to the corresponding **GO terms**
- Analyze the GO terms for significance ([pretty tricky](#))

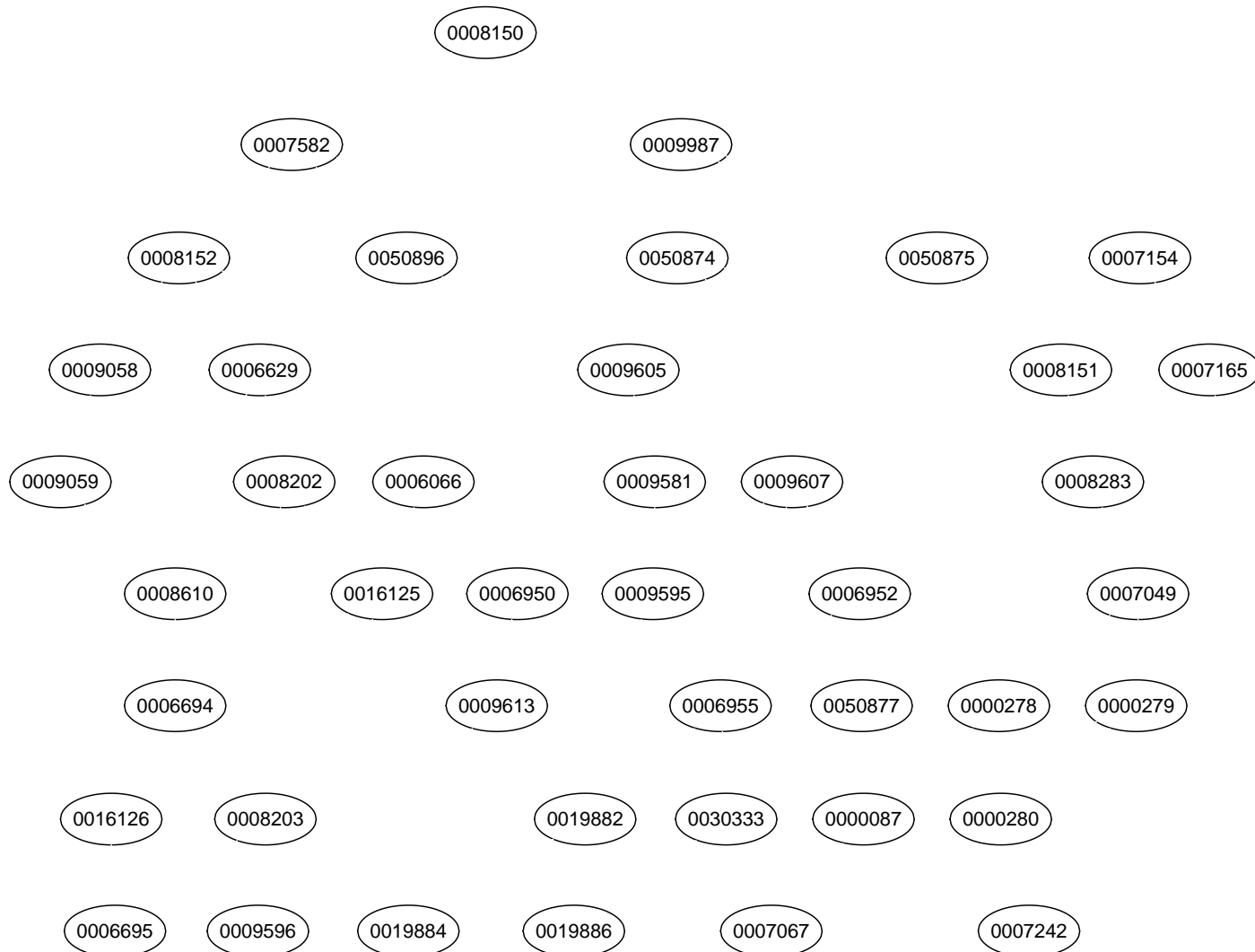
**Remark:** the GO terms are considered to be independent and the significance is computed for each one separately.

- Khatri P. and Draghici S. (2005). *Ontological analysis of gene expression data: current tools, limitations, and open problems*, *Bioinformatics*, 21(18):3587-3595.
  - Most used methods: Onto-Express, GOstat, GoMiner, FunSpec, FatiGO, GO::TermFinder
  - Methodically, all known methods are very similar (the accent is put on multiple tests adjustment)





**Note:** The labels of the nodes are the GO IDs:  $0008150 \cong \text{GO:}0008150$



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The score for a GO term is the **degree of independence** between the two properties:

$A$  : gene is in the list of significant genes

$B$  : gene is found in the GO term

	Significant genes	Not significant genes	Sum
Genes in $G$	$ \text{sigGenes} \cap \text{funcGenes} $	$ \overline{\text{sigGenes}} \cap \text{funcGenes} $	$ \text{funcGenes} $
Genes in $\overline{G}$	$ \text{sigGenes} \cap \overline{\text{funcGenes}} $	$ \overline{\text{sigGenes}} \cap \overline{\text{funcGenes}} $	$ \overline{\text{funcGenes}} $
Sum	$ \text{sigGenes} $	$ \overline{\text{sigGenes}} $	$ \text{allGenes} $

Testing the independence of two groups in the above contingency table corresponds to **Fisher's exact test**.

**Small example:** suppose that we have a GO term for which we expect  $\sim 10$  genes to be significant.

genes expected	genes in data	
10	10	random
10	12	still random
10	20	better than random
10	40	significant

For computing the significance of a gene set, we can use a *hypergeometric test*:

- $N$  genes are on microarray
- $Bio$  is a GO term
  - $M$  genes  $\in Bio$
  - $N - M$  genes  $\notin Bio$
- let  $K$  be the no. of significant genes
- what is the probability of having exactly  $x$  genes from  $K$ , of type  $Bio$ ?

$$P(X = x | N, M, K) = \frac{\binom{M}{x} \binom{N-M}{K-x}}{\binom{N}{K}}.$$

- This is the probability of getting exactly  $x$  by **chance** (not what we want)

$$p = 1 - \sum_{i=0}^{x-1} \frac{\binom{M}{i} \binom{N-M}{K-i}}{\binom{N}{K}}.$$

(similar to Fisher's exact test)

	GO:0006955	GO:0009059
Term name	immune response	macromolecule biosynthesis
Definition	Any process involved in the immunological reaction of an organism to an immunogenic stimulus	The formation from simpler components of macromolecules, large molecules including proteins, nucleic acids and carbohydrates
Ontology	BP	BP
# mapped genes	780	568

### Discriminating B-cell and T-cell [Chiaretti, S., et al., 2004]

- ALL dataset consists of 128 microarrays (95 patients with B-cell ALL and 33 patients with T-cell ALL).
- The Affymetrix HGU95aV2 chip used contain 12625 probes (9231 probes are annotated to BP) which induce a GO graph containing 2677 nodes.
- 515 differentially expressed genes (two-sided  $t$ -test, FDR-adjusted  $p$ -values, level  $\alpha = 0.01$ ).

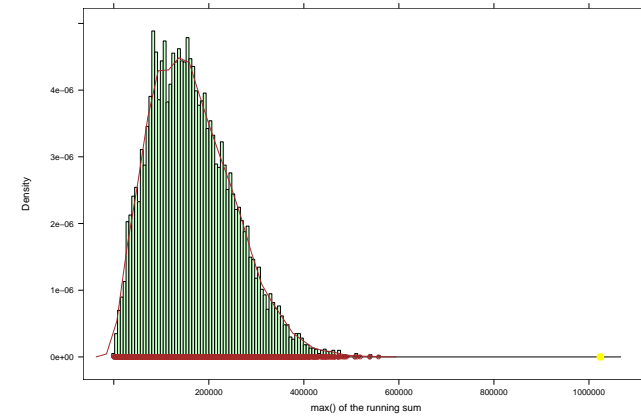
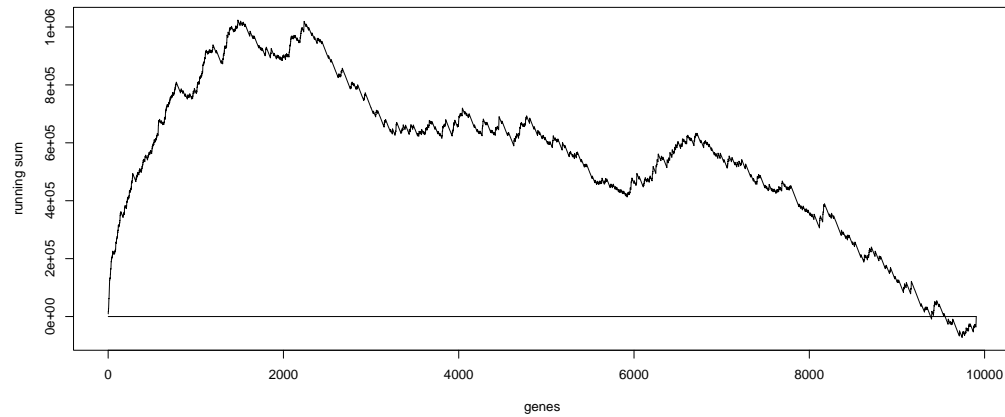
Contingency table for GO:0006955

	Significant genes	Not significant genes	Sum
Genes in $G$	107	673	780
Genes in $\overline{G}$	452	8673	9125
Sum	559	9346	9905

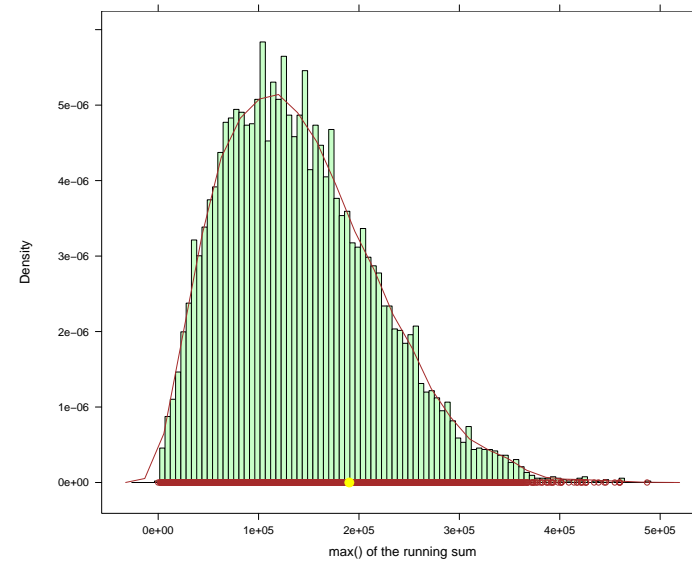
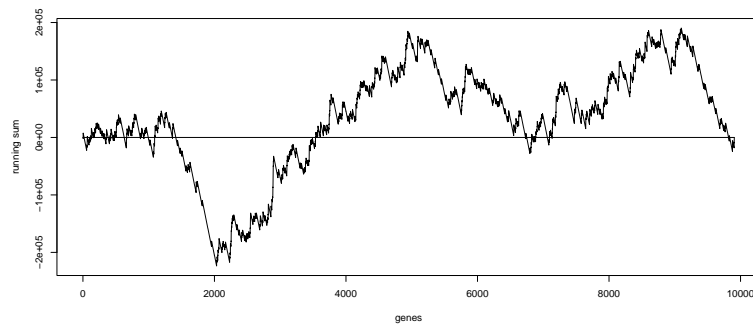
Contingency table for GO:0009059

	Significant genes	Not significant genes	Sum
Genes in $G$	35	533	568
Genes in $\overline{G}$	524	8813	9337
Sum	559	9346	9905

	GO:0006955	GO:0009059
Observed	107	33
Expected	44.020	32.055
Standard deviation	6.186	5.339
raw $p$ -value (Fisher)	7.3e-19	0.3166
adj $p$ -value (Fisher)	7.3e-15	1
raw $p$ -value (Z score)	1.2e-24	0.291



The  $p$ -value for GO:0006955 is 0



The  $p$ -value for GO:0009059 0.2492

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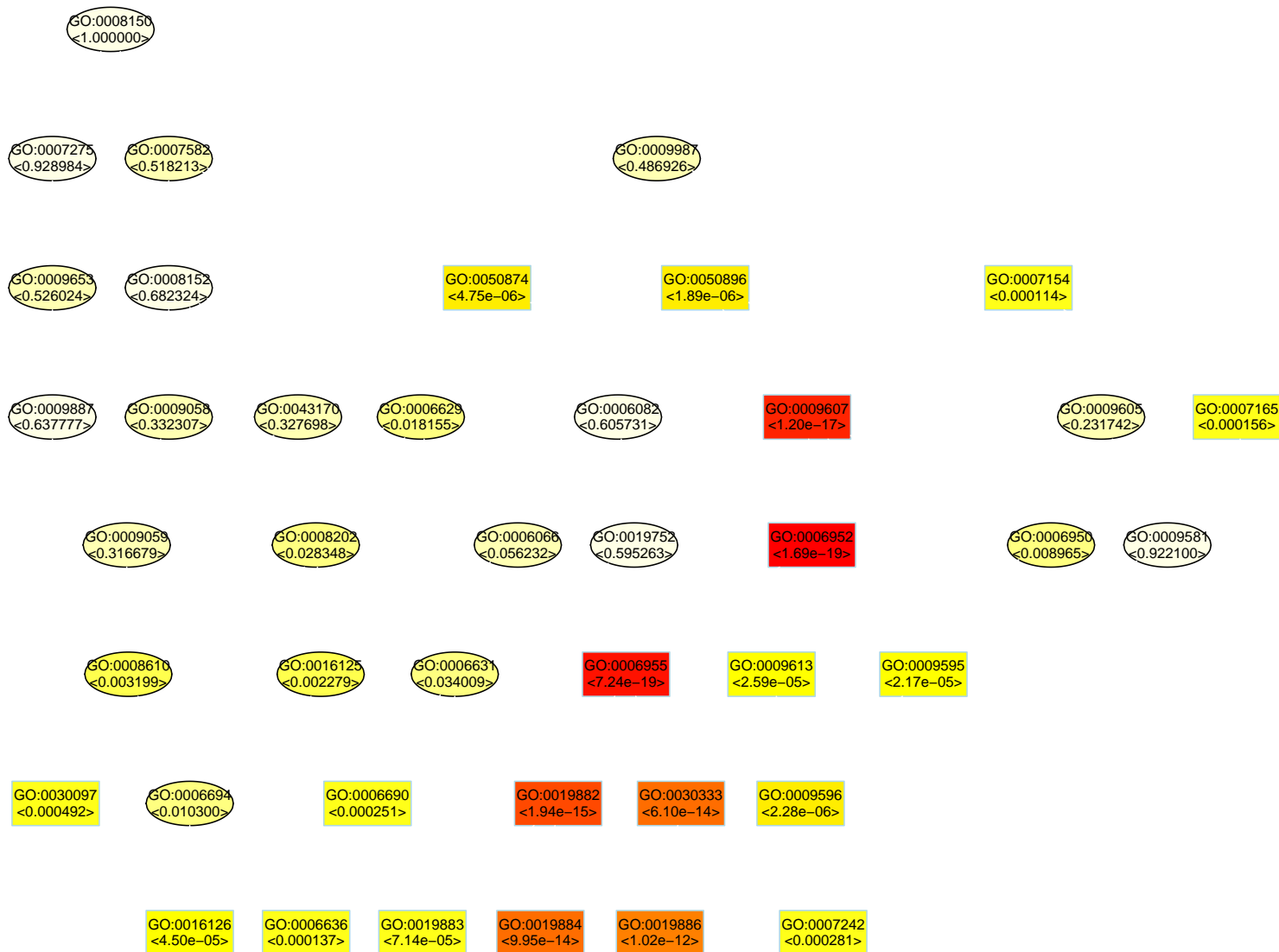


## Given:

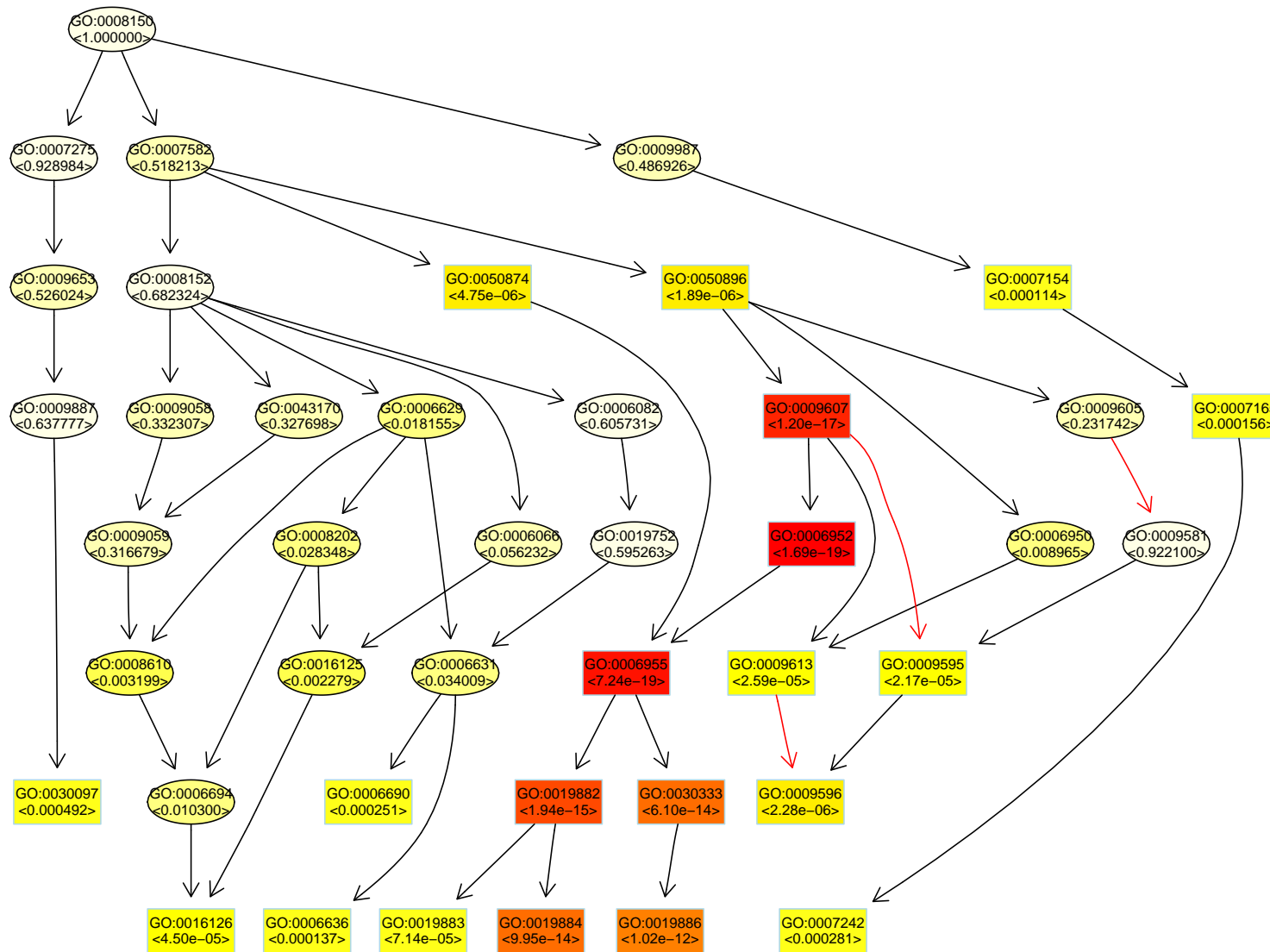
- a directed acyclic graph (**GO graph**) and a set of **items** (**genes**) s.t.:
  - each **node** in the graph contains some genes
  - the **parent** of a node contains **all** the genes of its child
  - a node can contain genes that are **not found** in the children
- a **subset of genes** that we call **significant** genes (**differentially expressed genes**)

## Goal:

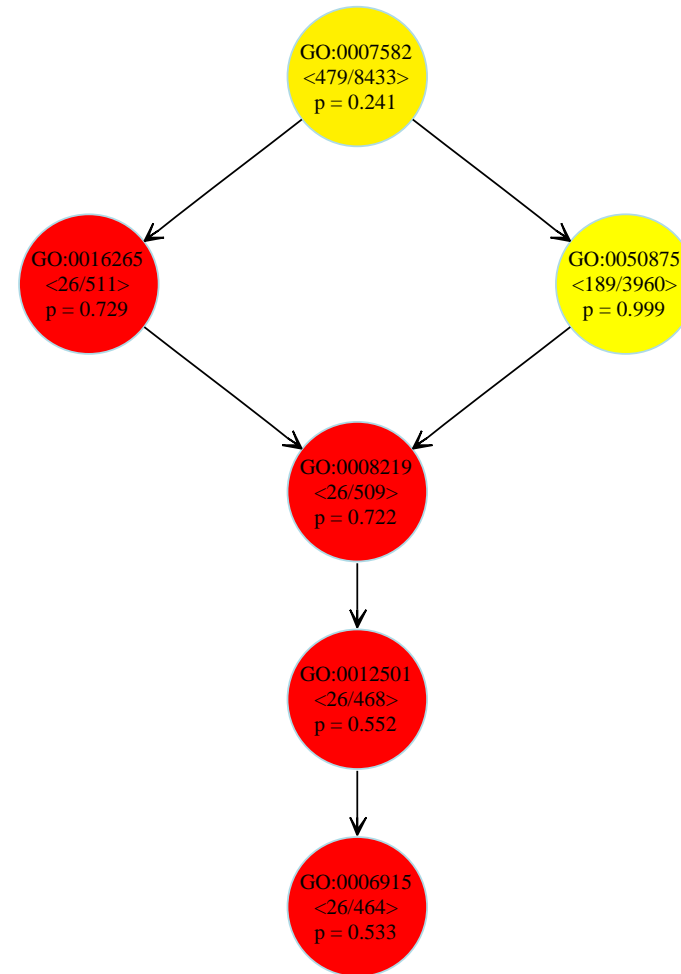
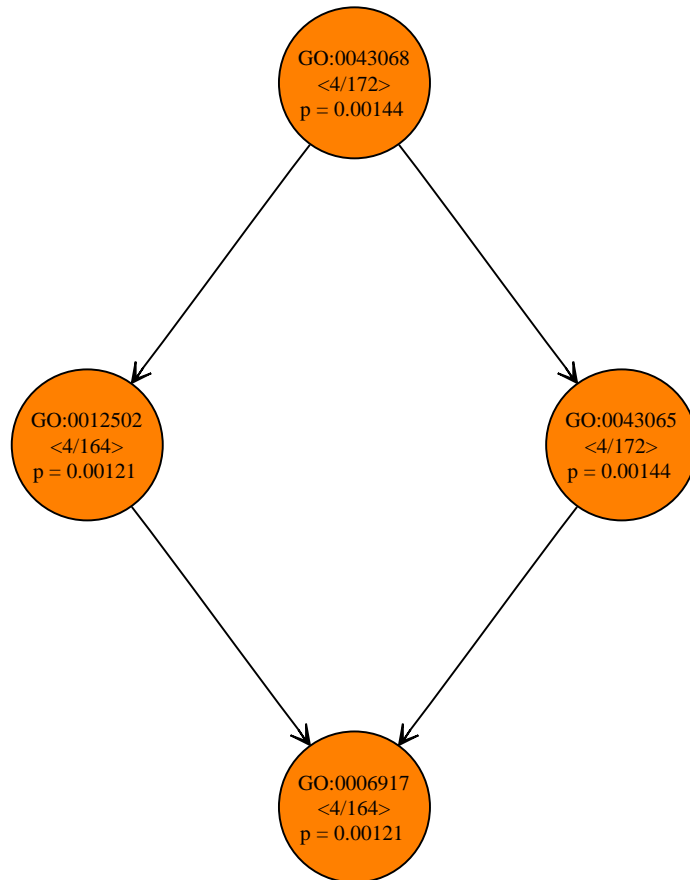
- find the nodes from the graph (**biological functions**) that **best represent** the significant genes w.r.t some scoring function (**some test statistic**)



**Note:** The coloring of the nodes represent the *relative* significance of the GO terms: **dark red** is the most significant, **light yellow** is the least significant from the graph



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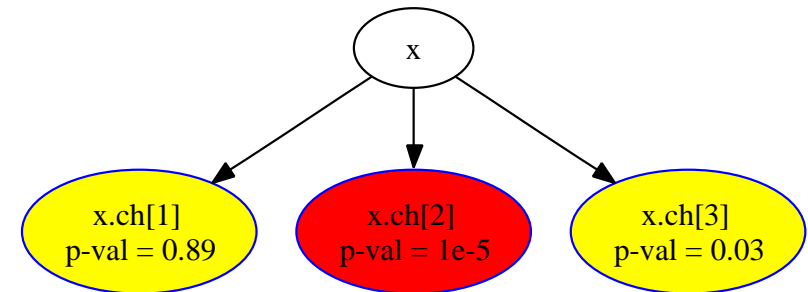


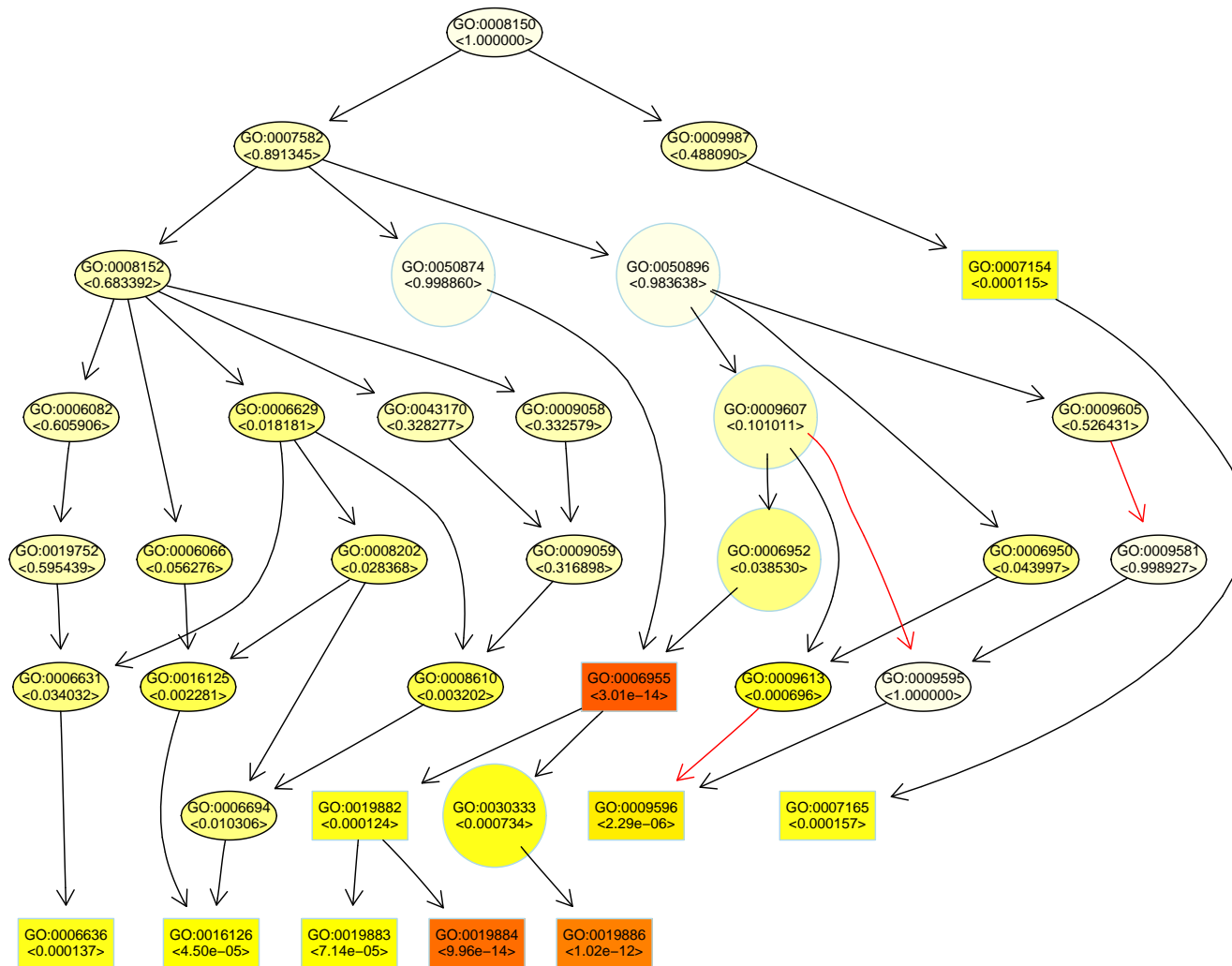
For each GO term the counts and the  $p$ -values are displayed.  $\langle x/y \rangle$  denotes that out of  $y$  genes mapped to the node,  $x$  belong to the list of interesting genes.

**The main idea:** Test how enriched node  $x$  is if we do not consider the genes from its significant children ( $x.ch[2]$  in our case).

### Algorithm:

1. The nodes are processed bottom-up. This assures that all children of node  $x$  were investigated before node  $x$  itself.
2. Let  $removed(x)$  be the set of genes that were removed in a previous step by a node in the lower subgraph induced by node  $x$ . Then
$$genes(x) \leftarrow genes(x) - removed(x).$$
3. The  $p$ -value for node  $x$  is computed using Fisher's exact test.
4. If node  $x$  is found significant, we remove all the genes mapped to this node, from all its ancestors.



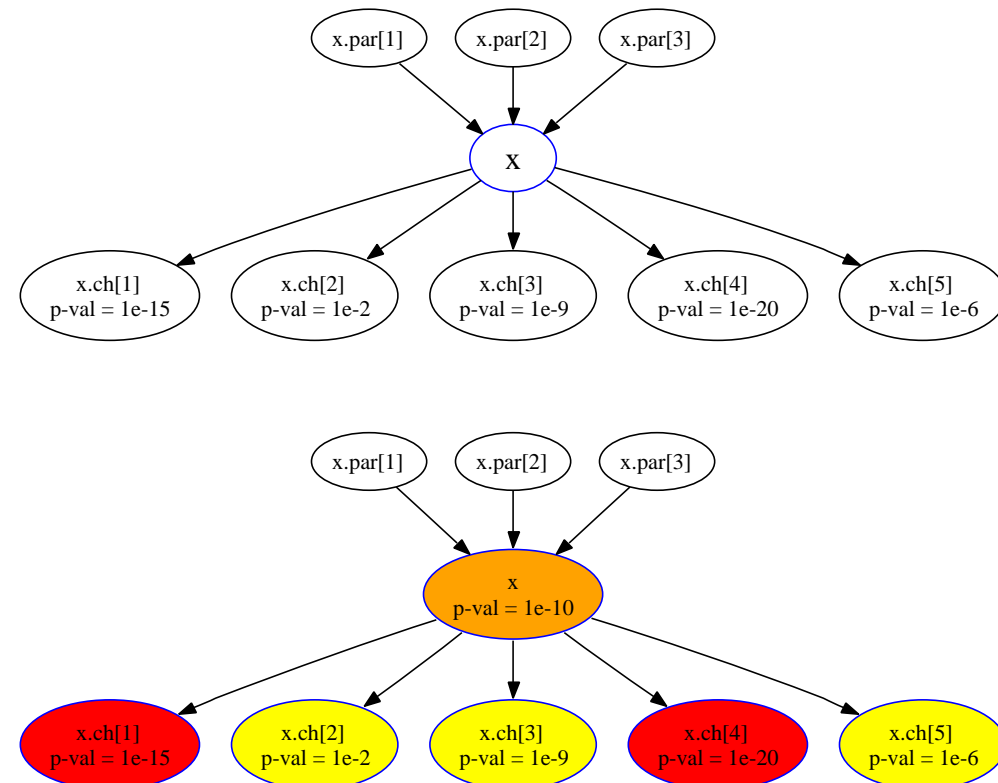


Top 10 significant node (the boxes) obtained with method elim

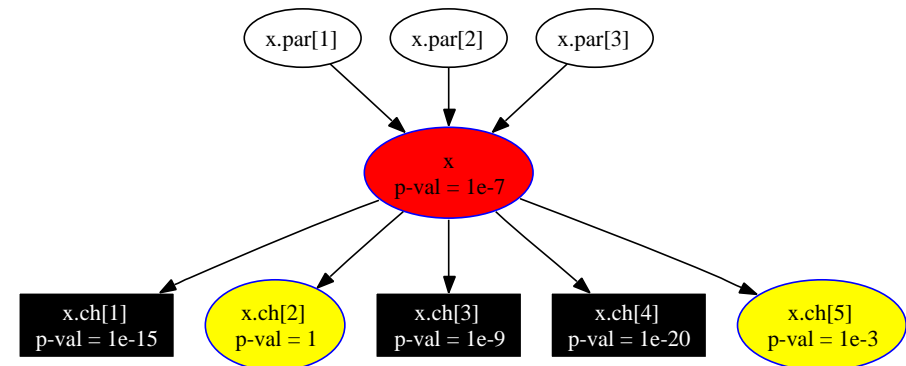
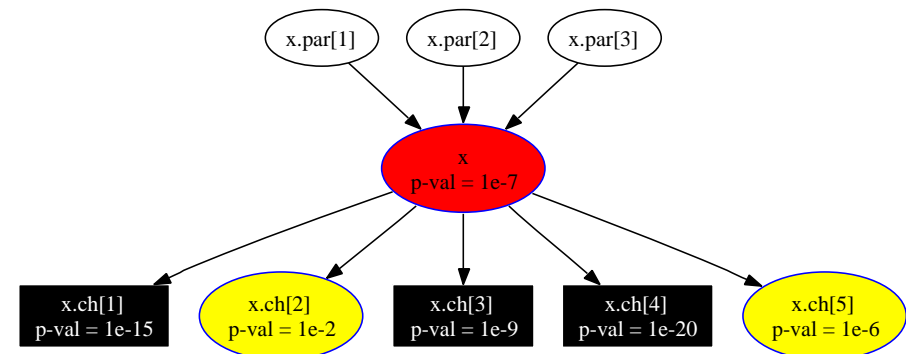
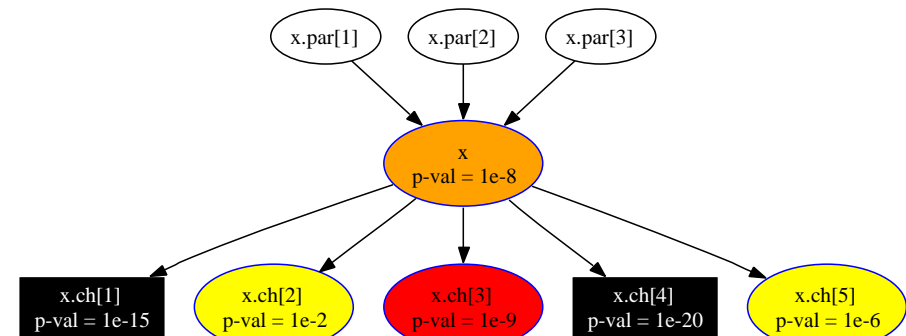
- We want to decide if node  $x$  is better representing the list of interesting genes (is **more enriched**) than any other node from its neighborhood.
- **The main idea:** Associate single genes mapped to a node with weights that denote their relevance. The elim algorithm uses 0-1 weights.

## Algorithm:

1. Compute the  $p$ -value of node  $x$  with its current weights. Initially all its genes have weight 1.
2. **CASE I:** Look at the children that are **more significant** than node  $x$  ( $x.ch[1]$  and  $x.ch[4]$ ). These children are local optima (colored with red).
3. For each such child **down-weight** all genes mapped to it in all the ancestors of node  $x$ , including  $x$ . **Mark** these children and GOTO step 1.



4. **CASE II:** If no child of node  $x$  has a  $p$ -value less than the current  $p$ -value of node  $x$  then node  $x$  is a local optimum.
5. The genes in these children are **down-weighted** and the  $p$ -values for these nodes are **recomputed** with the new updated weights.
6. The processing of node  $x$  terminates. Its  $p$ -value can be changed later, when node  $x$  is treated as a child of another node.





- The  $p$ -value of a node is computed by applying Fisher's exact test on a **weighted contingency table**. The quantity

$$|sigGenes \cap genes(u)|$$

is replaced with

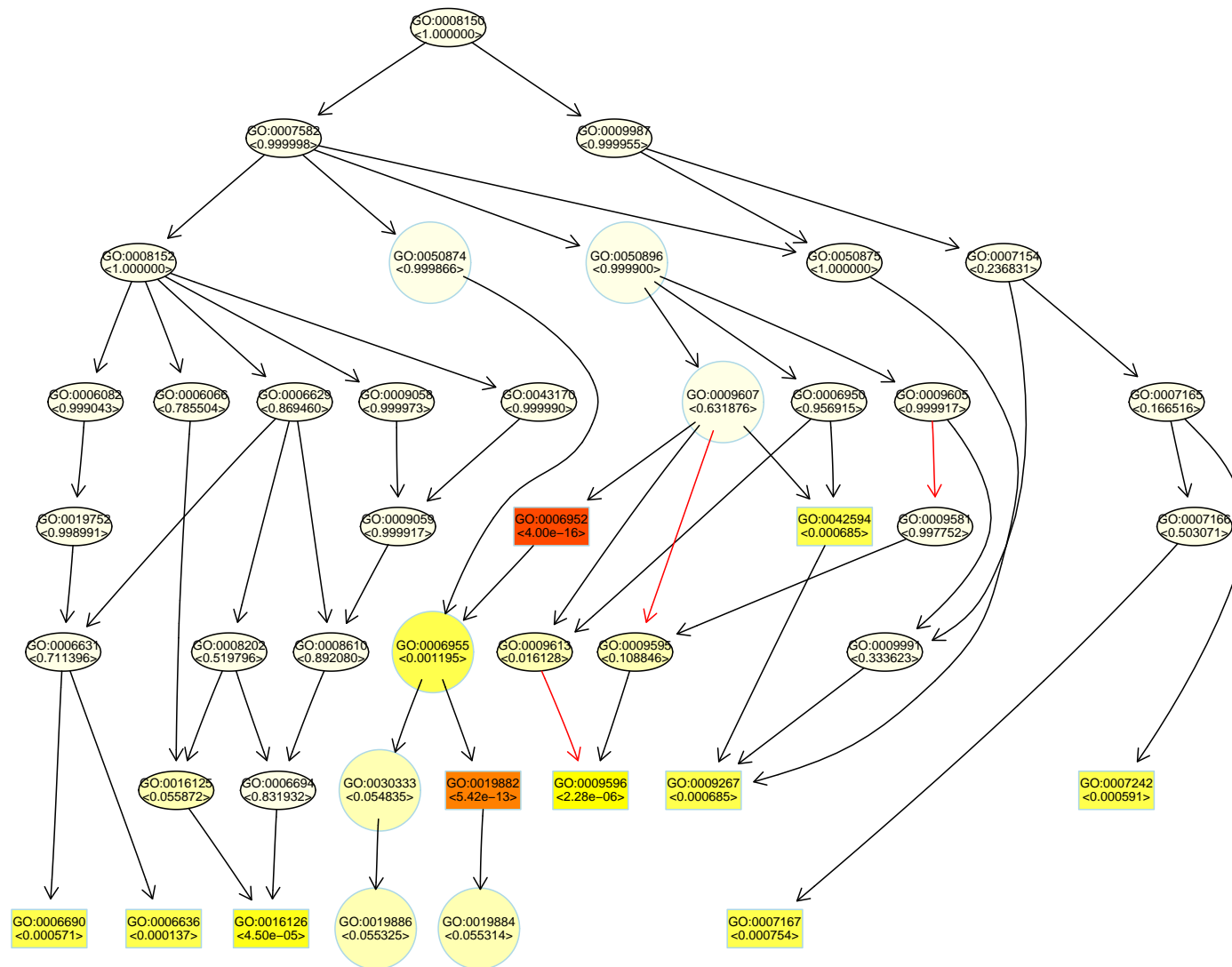
$$\left[ \sum_{i \in \{sigGenes \cap genes(u)\}} weight[i] \right].$$

- The weights for node  $x$  and one of its children are obtained by

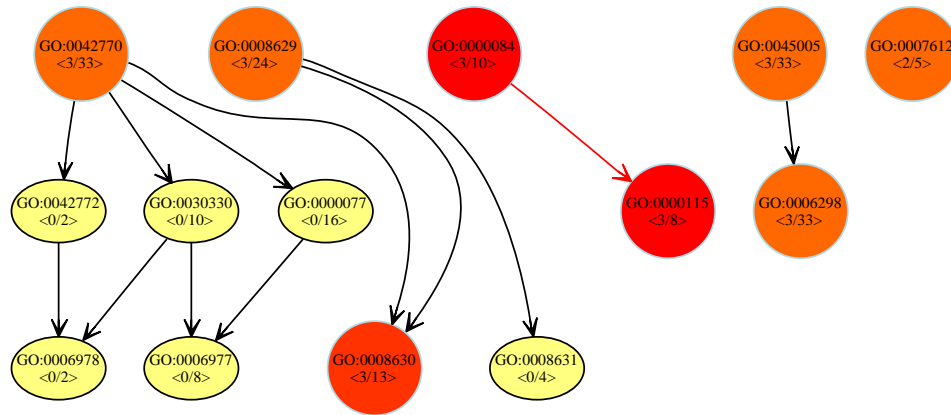
$$sigRatio(ch, x) = \frac{\log(p\text{-value}(ch))}{\log(p\text{-value}(x))} \quad \text{or} \quad sigRatio(ch, x) = \frac{p\text{-value}(x)}{p\text{-value}(ch)}$$

If  $sigRatio() > 1$  then node  $ch$  is **more significant** than its parent, node  $x$ .

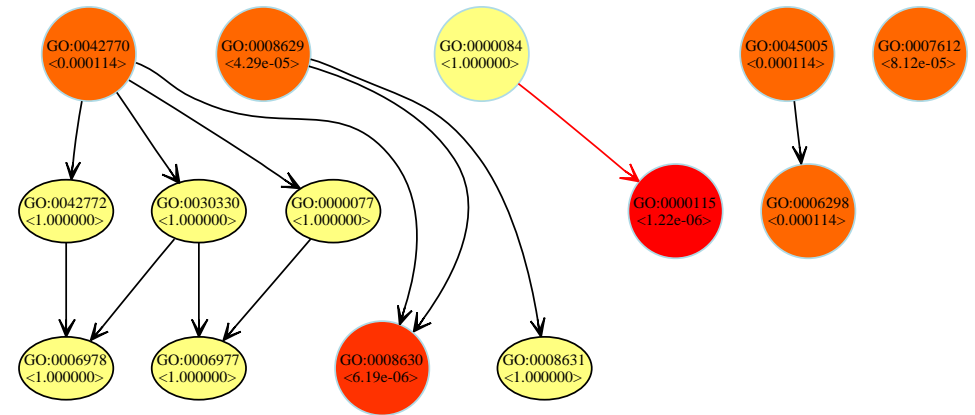
- The weights are updated using vector operators: minimum on the components, the product of the components, etc.



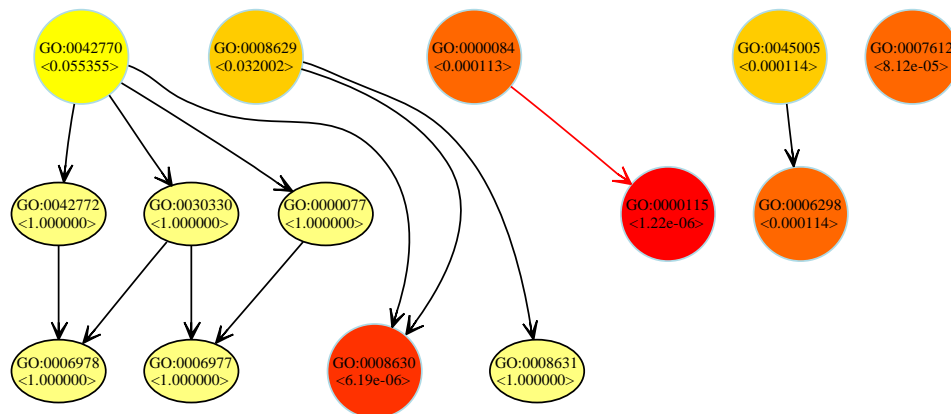
Top 10 significant node (the boxes) obtained with method weight



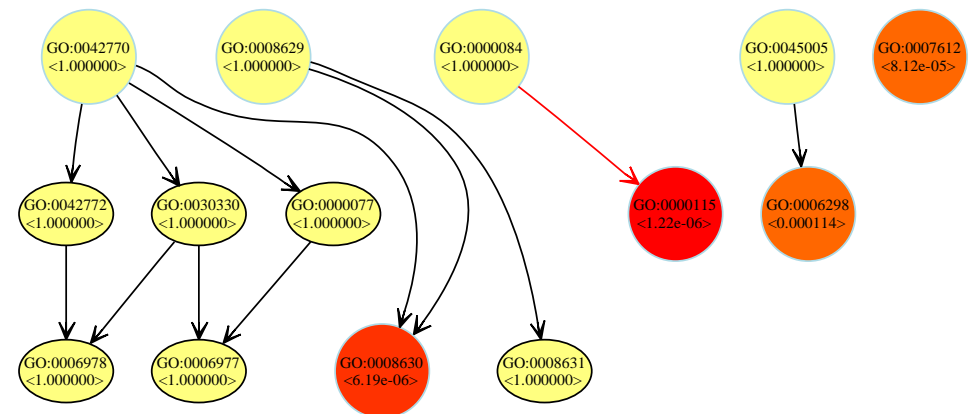
classic method



elim method



weight method



elim method (slightly modified)

	classic	elim	weight.log	weight.ratio
classic	1.000	0.310	0.226	-0.102
elim	0.310	1.000	-0.006	0.388
weight.log	0.226	-0.006	1.000	0.462
weight.ratio	-0.102	0.388	0.462	1.000

Rank correlation for a sample of significant GO terms.

- For each method we retrieve the 100 most significant GO terms.
- The union set of all resulting GO terms is compiled. There are 138 distinct GO terms in this case.
- For these GO terms we retrieve the raw  $p$ -values assigned by each method forming a matrix with 4 columns, one column for each method, and 147 rows.

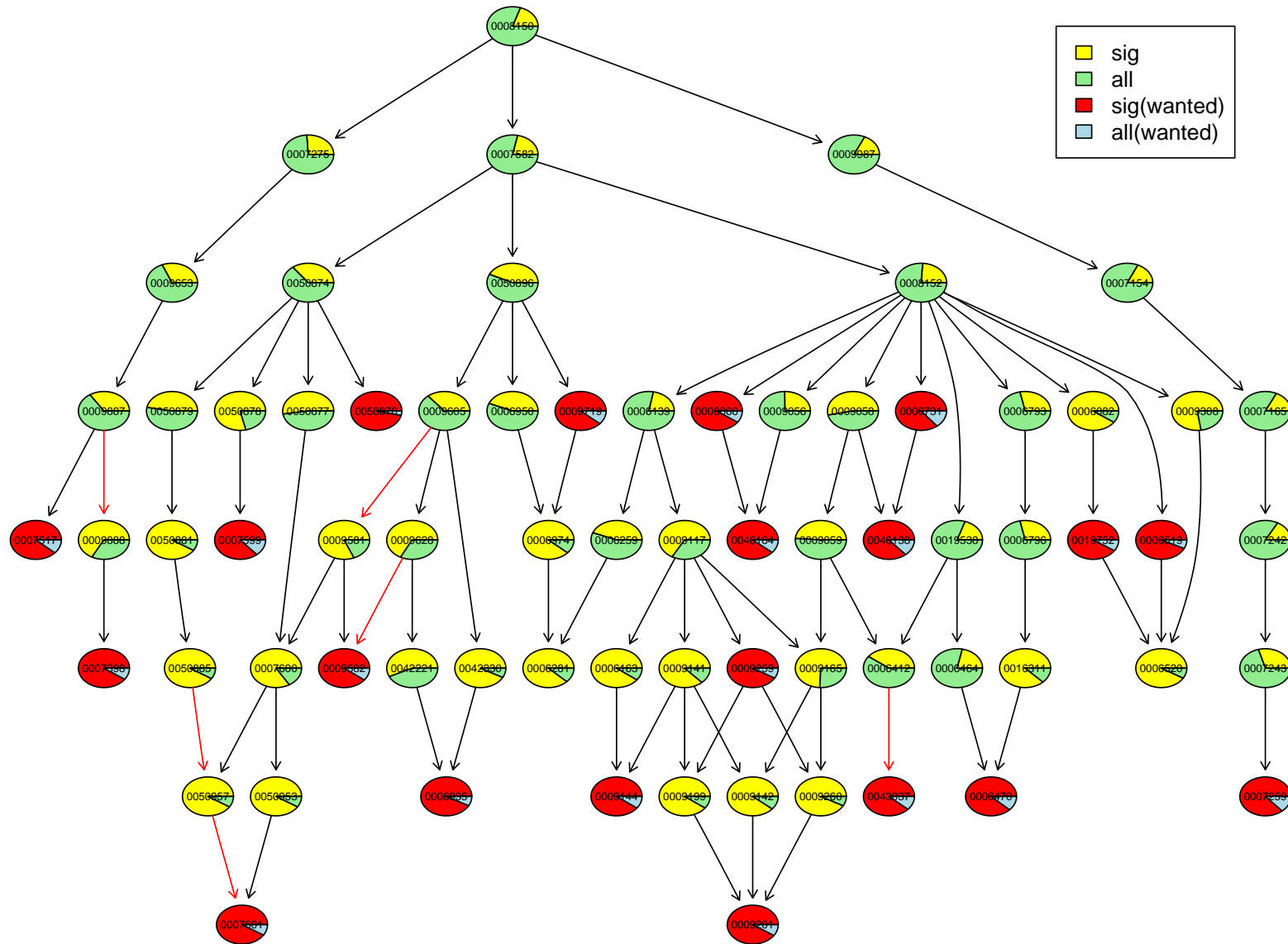
Since the correlation between the results of the algorithms is rather small, we can combine all the algorithms into an ensemble method.

	GO ID	Term	Observed	Expected	Annotated	<i>p</i> -values				
						classic	elim	weight.log	weight.ratio	all.M
1	GO:0006952	defense response	112	46.913	836	6.1e-15	1.000	1.0e-11	5.4e-12	1.5e-05
2	GO:0006955	immune response	102	42.816	763	2.0e-13	5.9e-09	9.3e-09	1.000	3.2e-10
3	GO:0009607	response to biotic stimul...	116	54.264	967	2.4e-12	1.000	9.3e-07	1.000	1.9e-05
4	GO:0019882	antigen presentation	17	1.683	30	1.2e-10	0.647	2.5e-10	5.9e-08	0.00062
5	GO:0030333	antigen processing	17	1.796	32	4.2e-10	0.647	3.5e-10	0.757	0.00083
6	GO:0019884	antigen presentation, exo...	12	0.898	16	4.1e-09	1.2e-08	3.0e-06	1.000	4.6e-08
7	GO:0019886	antigen processing, exoge...	12	1.01	18	3.2e-08	7.6e-08	9.9e-05	1.000	3.8e-07
8	GO:0009605	response to external stim...	127	79.235	1412	3.2e-05	1.000	0.0020	1.000	0.92887
9	GO:0050874	organismal physiological ...	129	89.897	1602	0.012	1.000	0.0071	1.000	1.00000
10	GO:0016126	sterol biosynthesis	9	1.515	27	0.019	0.047	0.0187	0.062	0.11467
11	GO:0050896	response to stimulus	137	98.146	1749	0.020	1.000	0.0726	1.000	0.87163

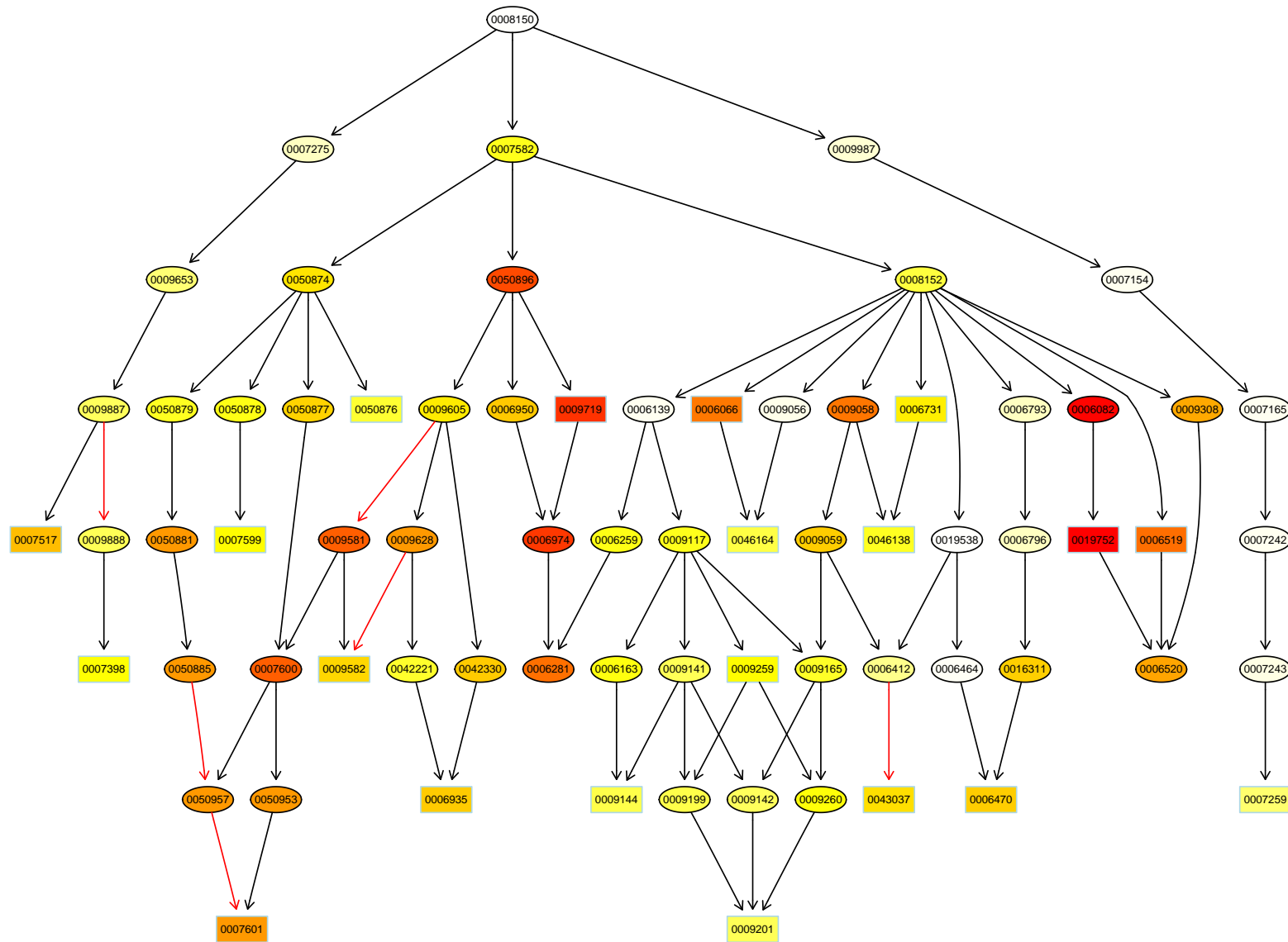
Statistics for significant GO terms for the ALL data set. The column *Expected* represents the expected number of interesting genes mapped to the GO term if the interesting genes were randomly distributed over all GO terms.

- Gene sets enrichment
- Scoring GO Terms
- Topology based GO Terms scoring
- **Evaluation on simulated data**

- We use the **GO graph** structure (2311 nodes), and all the genes from HGU95aV2 Affymetrix chip (9623 mapped to the GO graph)
- Select only the nodes that have the no. of mapped genes in **some range** (10 . . . 100)
- Choose **randomly** a number of nodes (50 in our case) from the selected nodes. These nodes represent the **enriched nodes**.
- Set as **significant** genes **all the genes** from the enriched nodes.
- Some **noise** can be introduce:
  - Pick **10%** from all significant genes
  - **Remove** them from the significant list
  - Replace the genes that we removed with **other genes**
- **The goal is to recover as best as possible the enriched nodes.**







- To assess the performance of each method  $\mathcal{M}$  the following scores are used:

$$\text{score}_k^0(\mathcal{M}) = |\text{top}_k(\mathcal{M}) \cap \text{enriched}|.$$

i.e. the number of *enriched nodes* found among the top  $k$  nodes.

- To get more insight into how each method accounts for the topology of the graph, the following scores are defined:

$$\text{score}_k^1(\mathcal{M}) = |\text{level}_k^1(\mathcal{M}) \cap \text{enriched}|,$$

$$\text{score}_k^{1p}(\mathcal{M}) = |\text{level}_k^{1p}(\mathcal{M}) \cap \text{enriched}|$$

with

$$\text{level}_k^1 = \text{top}_k(\mathcal{M}) \cup \text{parents}(\text{top}_k(\mathcal{M})) \cup \text{children}(\text{top}_k(\mathcal{M})),$$

$$\text{level}_k^{1p} = \text{top}_k(\mathcal{M}) \cup \text{parents}(\text{top}_k(\mathcal{M})).$$

- **Methods that obtain a higher score better retrieve the true enriched nodes.**

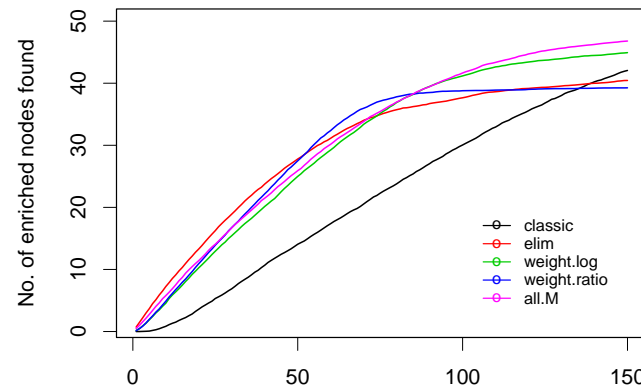
$k$	class	weight.log	weight.ratio	elim	all.M
25	5.5	13	14	17	15.5
50	<b>14.5</b>	<b>25.5</b>	<b>28</b>	<b>27.5</b>	<b>28.5</b>
75	22.5	35.5	38	31	38
100	31	42	39.5	33.5	43.5

$k$	Score	class	weight.log	weight.ratio	elim	all.M
	<b>0</b>	<b>14.5</b>	<b>25.5</b>	<b>28</b>	<b>27.5</b>	<b>28.5</b>
50	1p	15	26	29	40	31
	1	23	32	35	41	36
	2p	15	26	29	43	31
	2	29	36	39	45	40

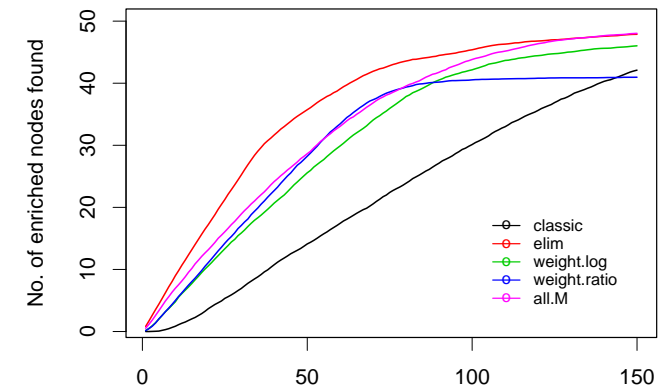
Average numbers of correctly identified *enriched nodes* over 100 simulation runs with 50 true *enriched nodes*, 10% noise level, and between 10 and 50 genes annotated to the *enriched nodes*.

Each curve represents the average of the numbers of preselected GO terms, over 100 simulation runs, that are among the top  $k$  GO terms. The left plot represents  $score_k^0$  and the right plot represents  $score_k^{1p}$ .

10 to 50 genes annotated  
10% noise level.

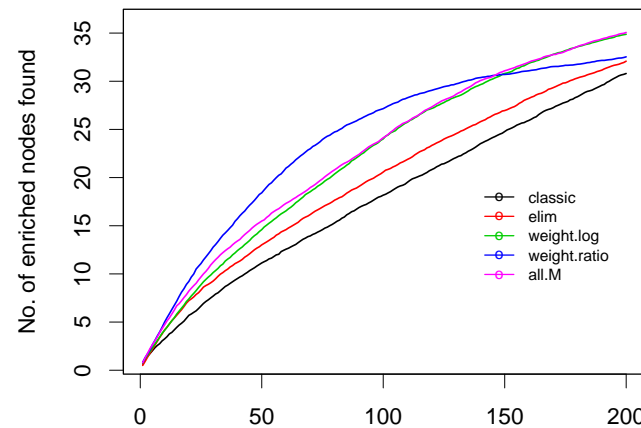


(a) Top k nodes

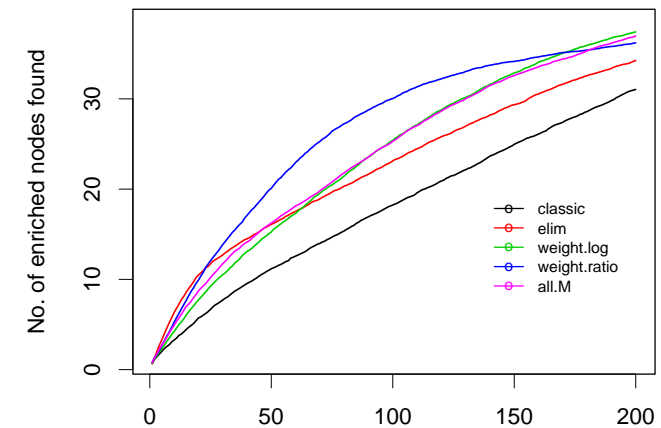


(b) Top k nodes

10 to 1000 genes annotated  
40% noise level.



(c) Top k nodes



Top k nodes

- Draghici, S. et al., **Global functional profiling of gene expression**, Genomics 81, 2003
- Ashburner, M. et al., **Gene Ontology: tool for the unification of biology**, Nature genetics, Vol. 25, 2000
- Berrar, D., Werner, D., Granzow, M., **A Practical Approach to Microarray Data Analysis**, Kluwer Academic, 2003
- Beissparth, T. and Speed, T., **GOstat: Find statistically overrepresented Gene Ontologies within a group of genes**, Bioinformatics, Vol. 1 no 1, 2004
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- Khatri, P. and Draghici, S. **Ontological analysis of gene expression data: current tools, limitations, and open problems** Bioinformatics, 21(18):3587-3595, 2005
- Lehmann, E. L., **Testing Statistical Hypotheses**, Springer Texts in Statistics, Springer-Verlag, New York, second edition, 1986