## From a gene list to biological function

## - Scoring Gene Ontology terms-

## Adrian Alexa

alexa@mpi-inf.mpg.de
Computational Biology and Applied Algorithmics
Max Planck Institute for Informatics

## D-66123 Saarbrcken

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$\xrightarrow{\text { E }}$ Gene sets enrichment
Scoring GO Terms
E Topology based GO Terms scoring
Et Evaluation of scoring methods

E Gene sets enrichment
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$>$ The Microarray experiments provide a long list of genes.
> Typical studies analyze genes one by one:

1. samples are divided into two groups: disease vs. healthy and the genes are ranked according to differential expression.
2. genes are ordered according to correlation of the expression values with a phenotype measurement.

These studies result in an ordered list of genes.
$>$ More important is the group enrichment:

- given a set of genes with some biological function, analyze the positions of these genes in the ordered list.
- the biological function is relevant, if all genes are among the top genes in the ordered list.
$>$ Gene sets:
- Gene Ontology (GO) terms
- Metabolic pathways
- MIPS classes
- Chromosomes
- Classes defined via transcription factors
- Gene sets obtained from other previous experiments
$>$ Remark 1 :
The score and the gene set must be chosen independently!
$>$ Remark 2:
The dependence between gene sets usually make the statistical interpretation of the result harder!


## GO Terms scoring

Main idea: Sort genes according to some score and analyze positions of members of the investigated gene group in this list.
$>$ We want to know if the members of group a have significantly small ranks (higher in the list). If this is the case, then group $\mathbf{a}$ is enriched.
> There are basically two approaches:

1. Define cutoff and count members of group a below and above cutoff (parametric test statistic).
2. Analyze distribution of all ranks of members of group a (non-parametric test statistic).

| Gene | Score | Group |
| :--- | :--- | :---: |
| gene $_{\sigma(1)}$ | score 1 | a |
| gene $_{\sigma(2)}$ | score 2 | b |
| gene $_{\sigma(3)}$ | score 3 | a |
| gene $_{\sigma(4)}$ | score 4 | a |
| $\ldots \ldots$. | $\ldots \ldots$. | $\ldots \ldots$ |
| gene $_{\sigma(100)}$ | score 100 | b |
| gene $_{\sigma(101)}$ | score 101 | a |
| $\ldots . .$. | $\ldots . .$. |  |
| gene $_{\sigma(9905)}$ | score 9905 | b |

## $\xrightarrow{\boldsymbol{H} \text { Gene sets enrichment }}$

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## GO Terms scoring

$>$ Obtain the Gene Expression Data from the microarrays experiments (this is the normalized and cleaned data: Long list of genes)
$>$ Select a set of significant genes (use some test statistic: $t$-test, permutation-test)
> Map all the genes to the corresponding GO terms
$>$ Analyze the GO terms for significance (pretty tricky) Remark: the GO terms are considered to be independent and the significance is computed for each one separately.
> Khatri P. and Draghici S. (2005). Ontological analysis of gene expression data: current tools, limitations, and open problems, Bioinformatics, 21(18):3587-3595.

- Most used methods: Onto-Express, GOstat, GoMiner, FunSpec, FatiGO, GO::TermFinder
- Methodically, all known methods are very similar (the accent is put on multiple tests adjustment)


Note: The labels of the nodes are the GO IDs: $0008150 \cong$ GO:0008150


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Small example: suppose that we have a GO term for which we expect $\sim 10$ genes to be significant.

| genes expected | genes in data |  |
| :--- | :--- | :--- |
| 10 | 10 | random |
| 10 | 12 | still random |
| 10 | 20 | better than random |
| 10 | 40 | significant |

For computing the significance of a gene set, we can use a hypergeometric test:

- $N$ genes are on microarray
- Bio is a GO term
- $M$ genes $\in$ Bio
- $N-M$ genes $\notin$ Bio
- let $K$ be the no. of significant genes
- what is the probability of having exactly $x$ genes from $K$, of type Bio?

$$
P(X=x \mid N, M, K)=\frac{\binom{M}{x}\binom{N-M}{K-x}}{\binom{N}{K}} .
$$

- This is the probability of getting exactly $x$ by chance (not what we want)

$$
p=1-\sum_{i=0}^{x-1} \frac{\binom{M}{x}\binom{N-M}{K-x}}{\binom{N}{K}} .
$$

(also called Fisher's exact test)

## GO Terms scoring

The score for a GO term is the degree of independence between the two characteristics: $\mathcal{A}=\{$ gene is in the list of significant genes $\}$ and $\mathcal{B}=\{$ gene is found in the GO term $\}$.

|  | Significant genes | Not significant genes | Sum |
| :---: | :---: | :---: | :---: |
| Genes in $G$ | $\mid$ sigGenes $\cap$ funcGenes $\mid$ | $\mid \overline{\text { sigGenes } \cap \text { funcGenes } \mid}$ | $\mid$ funcGenes $\mid$ |
| Genes in $\bar{G}$ | $\mid$ sigGenes $\cap \overline{\text { funcGenes }} \mid$ | $\|\overline{\text { sigGenes }} \cap \overline{\text { funcGenes }}\|$ | $\|\overline{\text { funcGenes }}\|$ |
| Sum | $\mid$ sigGenes $\mid$ | $\mid \overline{\text { sigGenes } \mid}$ | $\mid$ allGenes $\mid$ |

Testing the independence of two groups in the above contingency table corresponds to Fisher's exact test.

## GO Terms scoring

GO example

|  | GO:0006955 | GO:0009059 |
| :---: | :---: | :---: |
| Term name | immune response | macromolecule biosynthesis |
| Definition | Any process involved in the <br> immunological reaction of an <br> organism to an immunogenic <br> stimulus | The formation from sim- <br> molecules, large molecules <br> moluding proteins, nucleic <br> acids and carbohydrates |
| Ontology | BP | BP |
| \# mapped genes | 780 | 568 |

- The genes are sorted based on a two sided $t$-test statistic. There are a total of 9905 genes on the array.
- A cutoff of 559 is chosen (the number of genes which are found significant at a level $\alpha=0.01$ test after a Bonfferoni adjustment procedure is employed).

Contingency table for GO：0006955

|  | Significant genes | Not significant genes | Sum |
| :---: | :---: | :---: | :---: |
| Genes in $G$ | 107 | 673 | 780 |
| Genes in $\bar{G}$ | 452 | 8673 | 9125 |
| Sum | 559 | 9346 | 9905 |

Contingency table for GO：0009059

|  | Significant genes | Not significant genes | Sum |
| :---: | :---: | :---: | :---: |
| Genes in $G$ | 35 | 533 | 568 |
| Genes in $\bar{G}$ | 524 | 8813 | 9337 |
| Sum | 559 | 9346 | 9905 |


|  | GO：0006955 | GO：0009059 |
| :---: | :---: | :---: |
| Observed | 107 | 33 |
| Expected | 44.020 | 32.055 |
| Standard deviation | 6.186 | 5.339 |
| raw $p$－value（Fisher） | $7.3 \mathrm{e}-19$ | 0.3166 |
| adj $p$－value（Fisher） | $7.3 \mathrm{e}-15$ | 1 |
| raw $p$－value（Z score） | $1.2 \mathrm{e}-24$ | 0.291 |



The $p$-value for GO:0006955 is 0


The $p$-value for GO:0009059 0.2492

## ( Gene sets enrichment

## E Scoring GO Terms

Topology based GO Terms scoring
Et Evaluation of scoring methods

## Given:

- a directed acyclic graph (GO graph) and a set of items (genes) s.t.:
- each node in the graph contains some genes
- the parent of a node contains all the genes of its child
- a node can contain genes that are not found in the children
- a subset of genes that we call significant genes (differentially expressed genes)


## Goal:

- find the nodes from the graph (biological functions) that best represent the significant genes w.r.t some scoring function (some test statistic)


## GO Terms scoring



Note: The coloring of the nodes represent the relative significance of the GO terms: dark red is the most significant, light yellow is the least significant from the graph


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light yellow is the least significant from the graph


For each GO term the counts and the $p$-values are displayed. $\langle x / y>$ denotes that out of $y$ genes mapped to the node, $x$ belong to the list of interesting genes.

## GO Terms scoring

The main idea: Test how enriched node $x$ is if we do not consider the genes from its significant children ( $x$.ch $[2]$ in our case).

## Algorithm:

1. The nodes are processed bottom-up. This assures that all children of node $x$ were investigated before node $x$ itself.
2. Let removed $(x)$ be the set of genes that were removed in a previous step by a node in the lower subgraph induced by node $x$. Then

$$
\operatorname{genes}(x) \leftarrow \operatorname{genes}(x)-\operatorname{removed}(x)
$$


3. The $p$-value for node $x$ is computed using Fisher's exact test.
4. If node $x$ is found significant, we remove all the genes mapped to this node, from all its ancestors.


Top 10 significant node (the boxes) obtained with method elim

## GO Terms scoring

$>$ We want to decide if node $x$ is better representing the list of interesting genes (is more enriched) than any other node from its neighborhood.
$>$ The main idea: Associate single genes mapped to a node with weights that denote their relevance. The elim algorithm uses $0-1$ weights.

## Algorithm:

1. Compute the $p$-value of node $x$ with its current weights. Initially all its genes have weight 1 .
2. CASE I: Look at the children that are more significant than node $x$ ( $x . c h[1]$ and $x . c h[4]$ ). These children are local optima (colored with red).
3. For each such child down-weight all genes mapped to it in all the ancestors of node $x$, including $x$. Mark these children and GOTO step 1.

4. CASE II: If no child of node $x$ has a $p$-value less than the current $p$-value of node $x$ then node $x$ is a local optimum.
5. The genes in these children are down-weighted and the $p$-values for these nodes are recomputed with the new updated weights.
6. The processing of node $x$ terminates. Its $p$ value can be changed later, when node $x$ is treated as a child of another node.


## GO Terms scoring

$>$ The $p$-value of a node is computed by applying Fisher's exact test on a weighted contingency table. The quantity

$$
\mid \text { sigGenes } \cap \text { genes }(u) \mid
$$

is replaced with

$$
\left\lceil\sum_{i \in\{\text { sigGenes } \cap \text { genes }(u)\}} \text { weight }[i]\right\rceil \text {. }
$$

$>$ The weights for node $x$ and one of its children are obtained by

$$
\operatorname{sigRatio}(c h, x)=\frac{\log (p-\text { value }(c h))}{\log (p \text {-value }(x))} \quad \text { or } \quad \operatorname{sigRatio}(c h, x)=\frac{p \text {-value }(x)}{p \text {-value }(c h)}
$$

If sigRatio() $>1$ then node $c h$ is more significant than its parent, node $x$.
$>$ The weights are updated using vector operators: minimum on the components, the product of the components, etc.


Top 10 significant node (the boxes) obtained with method weight

weight method



|  | classic | elim | weight.log | weight.ratio |
| ---: | ---: | ---: | ---: | ---: |
| classic | 1.000 | 0.310 | 0.226 | -0.102 |
| elim | 0.310 | 1.000 | -0.006 | 0.388 |
| weight.log | 0.226 | -0.006 | 1.000 | 0.462 |
| weight.ratio | -0.102 | 0.388 | 0.462 | 1.000 |

Rank correlation for a sample of significant GO terms.
$>$ For each method we retrieve the 100 most significant GO terms.
$>$ The union set of all resulting GO terms is compiled. There are 138 distinct GO terms in this case.
$>$ For these GO terms we retrieve the raw $p$-values assigned by each method forming a matrix with 4 columns, one column for each method, and 147 rows.

Since the correlation between the results of the algorithms is rather small, we can combine all the algorithms into an ensemble method.

## GO Terms scoring

Advantages \& Disadvantages

|  | GO ID | Term | Observed | Expected | Annotated | $p$-values |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | classic | elim | weight.log | weight.ratio | all.M |
| 1 | GO:0006952 | defense response | 112 | 46.913 | 836 | $6.1 \mathrm{e}-15$ | 1.000 | $1.0 \mathrm{e}-11$ | $5.4 \mathrm{e}-12$ | $1.5 \mathrm{e}-05$ |
| 2 | GO:0006955 | immune response | 102 | 42.816 | 763 | $2.0 \mathrm{e}-13$ | $5.9 \mathrm{e}-09$ | $9.3 \mathrm{e}-09$ | 1.000 | $3.2 \mathrm{e}-10$ |
| 3 | GO:0009607 | response to biotic stimul... | 116 | 54.264 | 967 | $2.4 \mathrm{e}-12$ | 1.000 | $9.3 \mathrm{e}-07$ | 1.000 | $1.9 \mathrm{e}-05$ |
| 4 | GO:0019882 | antigen presentation | 17 | 1.683 | 30 | $1.2 \mathrm{e}-10$ | 0.647 | $2.5 \mathrm{e}-10$ | $5.9 \mathrm{e}-08$ | 0.00062 |
| 5 | GO:0030333 | antigen processing | 17 | 1.796 | 32 | $4.2 e-10$ | 0.647 | $3.5 \mathrm{e}-10$ | 0.757 | 0.00083 |
| 6 | GO:0019884 | antigen presentation, exo... | 12 | 0.898 | 16 | $4.1 \mathrm{e}-09$ | $1.2 \mathrm{e}-08$ | $3.0 \mathrm{e}-06$ | 1.000 | $4.6 \mathrm{e}-08$ |
| 7 | GO:0019886 | antigen processing, exoge... | 12 | 1.01 | 18 | $3.2 e-08$ | $7.6 \mathrm{e}-08$ | $9.9 \mathrm{e}-05$ | 1.000 | $3.8 \mathrm{e}-07$ |
| 8 | GO:0009605 | response to external stim... | 127 | 79.235 | 1412 | $3.2 \mathrm{e}-05$ | 1.000 | 0.0020 | 1.000 | 0.92887 |
| 9 | GO:0050874 | organismal physiological ... | 129 | 89.897 | 1602 | 0.012 | 1.000 | 0.0071 | 1.000 | 1.00000 |
| 10 | GO:0016126 | sterol biosynthesis | 9 | 1.515 | 27 | 0.019 | 0.047 | 0.0187 | 0.062 | 0.11467 |
| 11 | GO:0050896 | response to stimulus | 137 | 98.146 | 1749 | 0.020 | 1.000 | 0.0726 | 1.000 | 0.87163 |

Statistics for significant GO terms for the ALL data set. The column Expected represents the expected number of interesting genes mapped to the GO term if the interesting genes were randomly distributed over all GO terms.

## ( Gene sets enrichment

## E Scoring GO Terms

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$>$ We use the GO graph structure (2311 nodes), and all the genes from HGU95aV2 Affymetrix chip ( 9623 mapped to the GO graph)
$>$ Select only the nodes that have the no. of mapped genes in some range (10 . . . 100)
$>$ Choose randomly a number of nodes (50 in our case) from the selected nodes. These nodes represent the enriched nodes.
$>$ Set as significant genes all the genes from the enriched nodes.
$>$ Some noise can be introduce:

- Pick $10 \%$ from all significant genes
- Remove them from the significant list
- Replace the genes that we removed with other genes
$>$ The goal is to recover as best as possible the enriched nodes.




## GO Terms scoring

$>$ To assess the performance of each method $\mathcal{M}$ the following scores are used:

$$
\operatorname{score}_{k}^{0}(\mathcal{M})=\mid \operatorname{top}_{k}(\mathcal{M}) \cap \text { enriched } \mid .
$$

i.e. the number of enriched nodes found among the top $k$ nodes.
$>$ To get more insight into how each method accounts for the topology of the graph, the following scores are defined:

$$
\begin{aligned}
\operatorname{score}_{k}^{1}(\mathcal{M}) & =\mid \text { level }_{k}^{1}(\mathcal{M}) \cap \text { enriched } \mid \\
\operatorname{score}_{k}^{1 p}(\mathcal{M}) & =\mid \operatorname{level}_{k}^{1 p}(\mathcal{M}) \cap \text { enriched } \mid
\end{aligned}
$$

with

$$
\begin{aligned}
\text { level }_{k}^{1} & =\operatorname{top}_{k}(\mathcal{M}) \cup \operatorname{parents}^{\left(\operatorname{top}_{k}(\mathcal{M})\right) \cup \operatorname{children}\left(\operatorname{top}_{k}(\mathcal{M})\right),} \\
\text { level }_{k}^{1 p} & =\operatorname{top}_{k}(\mathcal{M}) \cup \operatorname{parents}\left(\operatorname{top}_{k}(\mathcal{M})\right) .
\end{aligned}
$$

$>$ Methods that obtain a higher score better retrieve the true enriched nodes.

| $k$ | class | weight.log | weight.ratio | elim | all.M |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 25 | 5.5 | 13 | 14 | 17 | 15.5 |
| 50 | 14.5 | 25.5 | 28 | 27.5 | 28.5 |
| 75 | 22.5 | 35.5 | 38 | 31 | 38 |
| 100 | 31 | 42 | 39.5 | 33.5 | 43.5 |


| $k$ | Score | class | weight.log | weight.ratio | elim | all.M |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 50 | 0 | 14.5 | 25.5 | 28 | 27.5 | 28.5 |
|  | $1 p$ | 15 | 26 | 29 | 40 | 31 |
|  | 1 | 23 | 32 | 35 | 41 | 36 |
|  | $2 p$ | 15 | 26 | 29 | 43 | 31 |
|  | 2 | 29 | 36 | 39 | 45 | 40 |

Average numbers of correctly identified enriched nodes over 100 simulation runs with 50 true enriched nodes, $10 \%$ noise level, and between 10 and 50 genes annotated to the enriched nodes.


The average performance of the algorithms for 100 simulation runs, 50 enriched nodes, 10 to 50 genes annotated, $10 \%$ noise level. The left plot represents $\operatorname{score}{ }_{k}^{0}$ and the right plot represents $\operatorname{score}_{k}^{1 p}$.

